

APPLICATION DATA SHEET

Application Information

Application Number:: 09/495,393
Filing Date:: January 31, 2000
Application Type:: Regular
Subject Matter:: Utility
Suggested Classification::
Suggested Group Art Unit::
CD-ROM or CD-R::
Number of CD Disks::
Number of Copies of CDs::
Sequence Submission?::
Computer Readable Form (CRF)?::
Number of Copies of CRF::

Title:: **SYSTEM AND METHOD FOR INTEGRATING
TRADING OPERATIONS INCLUDING THE
GENERATION, PROCESSING AND
TRACKING OF AND TRADE DOCUMENTS**

Attorney Docket Number:: 72167.000158
Request for Early Publication?::
Request for Non-Publication?::
Suggested Drawing Figure::
Total Drawing Sheets:: 5
Small Entity?::
Latin Name::
Variety denomination name::
Petition Included?::
Petition Type::
Licensed US Government Agency::
Contract or Grant Numbers::
Secrecy Order in Parent Application?::

Applicant Information

Applicant Authority Type:: Inventor
Primary Citizenship Country:: United States
Status:: Full Capacity

Given Name:: **Albert**
Middle Name:: **Kwang-Hwa**
Family Name:: **SUN**
Name Suffix::
City of Residence:: Brooklyn
State or Province of Residence:: NY
Country of Residence:: US
Street of Mailing Address:: 322 13th Street
Street of Mailing Address::
City of Mailing Address:: Brooklyn
State or Province of Mailing Address:: NY
Country of Mailing Address:: US
Postal or Zip Code of Mailing Address:: 11215

Applicant Authority Type:: Inventor
Primary Citizenship Country:: China
Status:: Full Capacity

Given Name:: **Tak**
Middle Name:: **Ming**
Family Name:: **CHAN**
Name Suffix::
City of Residence:: Kwun Tong
State or Province of Residence::
Country of Residence:: Hong Kong
Street of Mailing Address:: 5C Block 10, Laguna City

Street of Mailing Address::

City of Mailing Address:: Kwun Tong

State or Province of Mailing Address::

Country of Mailing Address : Hong Kong

Postal or Zip Code of Mailing Address::

Applicant Authority Type:: Inventor

Primary Citizenship Country:: Great Britain

Status:: Full Capacity

Given Name:: **Jacqueline**

Middle Name::

Family Name:: **LAYER**

Name Suffix::

City of Residence:: Dorset

State or Province of Residence::

Country of Residence:: United Kingdom

Street of Mailing Address:: Heddle House, Iwerne Minster Blanford

Street of Mailing Address::

City of Mailing Address:: Dorset

State or Province of Mailing Address::

Country of Mailing Address:: United Kingdom

Postal or Zip Code of Mailing Address::

Applicant Authority Type:: Inventor

Primary Citizenship Country:: United States

Status:: Full Capacity

Given Name:: **Nicole**

Middle Name:: **Gabrielle Rodriguez**

Family Name:: **TOULIS**

Name Suffix::
City of Residence:: New York
State or Province of Residence:: NY
Country of Residence:: US
Street of Mailing Address:: 272 First Avenue, Apt. 7C
Street of Mailing Address::
City of Mailing Address:: New York
State or Province of Mailing Address:: NY
Country of Mailing Address : US
Postal or Zip Code of Mailing Address:: 10009

Applicant Authority Type:: Inventor
Primary Citizenship Country:: China
Status:: Full Capacity

Given Name:: **Stephen**
Middle Name:: **Pan**
Family Name:: **CHEUNG**
Name Suffix::
City of Residence:: Tsuen Wan
State or Province of Residence::
Country of Residence:: Hong Kong
Street of Mailing Address:: Flat E, 18/F, Tower 3, Discovery Park
Street of Mailing Address::
City of Mailing Address:: Tsuen Wan
State or Province of Mailing Address::
Country of Mailing Address : Hong Kong
Postal or Zip Code of Mailing Address::

Applicant Authority Type:: Inventor
Primary Citizenship Country:: China

Status:: Full Capacity

Given Name:: **Patrick**

Middle Name:: **Shu Pui**

Family Name:: **KO**

Name Suffix::

City of Residence:: Happy Valley

State or Province of Residence::

Country of Residence:: Hong Kong

Street of Mailing Address:: 2/F Flat F, Shan Kwong Towers

Street of Mailing Address:: 24 Shan Kwong Road

City of Mailing Address:: Happy Valley

State or Province of Mailing Address::

Country of Mailing Address : Hong Kong

Postal or Zip Code of Mailing Address::

Applicant Authority Type:: Inventor

Primary Citizenship Country:: Canada

Status:: Full Capacity

Given Name:: **Sammy**

Middle Name:: **Shun Yuen**

Family Name:: **FUNG**

Name Suffix::

City of Residence:: Kowloon

State or Province of Residence::

Country of Residence:: Hong Kong

Street of Mailing Address:: 5E, 5 Perth Street, Ilford Court

Street of Mailing Address::

City of Mailing Address:: Kowloon

State or Province of Mailing Address::

Country of Mailing Address : Hong Kong

Postal or Zip Code of Mailing Address::

Applicant Authority Type:: Inventor

Primary Citizenship Country:: United States

Status:: Full Capacity

Given Name:: **Thomas**

Middle Name:: **William**

Family Name:: **HALPIN**

Name Suffix::

City of Residence:: Irvington

State or Province of Residence:: NY

Country of Residence:: US

Street of Mailing Address:: 1 Mountain Road

Street of Mailing Address::

City of Mailing Address:: Irvington

State or Province of Mailing Address:: NY

Country of Mailing Address : US

Postal or Zip Code of Mailing Address:: 10533

Applicant Authority Type:: Inventor

Primary Citizenship Country:: Malaysia

Status:: Full Capacity

Given Name:: **Yoke**

Middle Name:: **Bee**

Family Name:: **YAP**

Name Suffix::

City of Residence:: New York

State or Province of Residence:: NY

Country of Residence:: US
Street of Mailing Address:: 425 East 63rd Street
Street of Mailing Address:: Apartment, W2A
City of Mailing Address:: New York
State or Province of Mailing Address:: NY
Country of Mailing Address : US
Postal or Zip Code of Mailing Address:: 10021

Applicant Authority Type:: Inventor
Primary Citizenship Country:: China
Status:: Full Capacity

Given Name:: **Zeno**
Middle Name:: **Fook Cheun**
Family Name:: **CHOW**
Name Suffix::
City of Residence:: Yuen Long, N.T.
State or Province of Residence:: Hong Kong
Country of Residence::
Street of Mailing Address:: 12 San Francisco Avenue, Royal Palms
Street of Mailing Address::
City of Mailing Address:: Yuen Long, N.T.
State or Province of Mailing Address::
Country of Mailing Address : Hong Kong
Postal or Zip Code of Mailing Address::

Applicant Authority Type:: Inventor
Primary Citizenship Country:: United States
Status:: Full Capacity

Given Name:: **Kelvin**

Middle Name:: **Yatsun**
Family Name:: **LEUNG**
Name Suffix::
City of Residence:: Pelham
State or Province of Residence:: NY
Country of Residence:: US
Street of Mailing Address:: 30 Highbrook Avenue
Street of Mailing Address::
City of Mailing Address:: Pelham
State or Province of Mailing Address:: NY
Country of Mailing Address : US
Postal or Zip Code of Mailing Address:: 10803

Applicant Authority Type:: Inventor
Primary Citizenship Country:: United Kingdom
Status:: Full Capacity

Given Name:: **Kwok**
Middle Name:: **Keung**
Family Name:: **YEUNG**
Name Suffix::
City of Residence:: Shatin
State or Province of Residence::
Country of Residence:: Hong Kong
Street of Mailing Address:: Block G, 20/F, Flat 1, Sunshine City, Man on
Shan
Street of Mailing Address::
City of Mailing Address:: Shatin
State or Province of Mailing Address::
Country of Mailing Address : Hong Kong
Postal or Zip Code of Mailing Address::

Applicant Authority Type:: Inventor
Primary Citizenship Country:: Australia
Status:: Full Capacity

Given Name:: **Man**
Middle Name:: **Pui**
Family Name:: **TSIM**
Name Suffix::
City of Residence:: Hong Kong
State or Province of Residence::
Country of Residence:: Hong Kong
Street of Mailing Address:: 37C Ko Fung Court, Fook Yum Street
Street of Mailing Address::
City of Mailing Address:: Honk Kong
State or Province of Mailing Address::
Country of Mailing Address : Hong Kong
Postal or Zip Code of Mailing Address::

Applicant Authority Type:: Inventor
Primary Citizenship Country:: Australia
Status:: Full Capacity

Given Name:: **Sol**
Middle Name::
Family Name:: **SOLOMON**
Name Suffix::
City of Residence:: Caulfield
State or Province of Residence::
Country of Residence:: Australia
Street of Mailing Address:: 61 Rosemont Avenue

Street of Mailing Address::

City of Mailing Address:: Caulfield

State or Province of Mailing Address::

Country of Mailing Address : Australia

Postal or Zip Code of Mailing Address:: 3161

Correspondence Information

Correspondence Customer No.: 21967

Representative Information

Representative Customer Number: 21967

Domestic Priority Information

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This application is	claiming the benefit under 35 USC 119(e)	60/113,561	12/23/98
	claiming the benefit under 35 USC 119(e)	60/113,643	12/23/98

Foreign Priority Information

Country:	Application Number::	Filing Date::	Priority Claimed::
PCT	PCT/US99/30976	12/23/1999	

Assignee Information

Assignee Name:: JPMorgan Chase Bank, N.A.

Street of Mailing Address:: 270 Park Avenue

Street of Mailing Address::

City of Mailing Address:: New York

State of Province of Mailing Address:: NY

Country of Mailing Address:: US

Postal or Zip Code of Mailing Address:: 10036